



November 19, 2021

MEMORANDUM FOR: GUNDEEP AHLUWALIA  
Chief Information Officer

A handwritten signature in cursive script that reads "Carolyn R. Hantz".

FROM: CAROLYN R. HANTZ  
Assistant Inspector General  
for Audit

SUBJECT: IT Modernization, Project No. 23-P21-003-07-720

Please be advised the Office of Inspector General is initiating an audit of DOL's IT Modernization efforts. We have an Entrance Conference scheduled for December 3, 2021 to discuss the following:

Objective: Determine the extent to which the Department of Labor has developed an information technology (IT) modernization roadmap and to report on its current and future state.

We plan to begin work immediately after our meeting and would appreciate your notifying the appropriate officials of our plans. To facilitate the start of the audit, we have attached an initial Document Request Listing and would appreciate these items being provided prior to the meeting so we may begin our planning.

If you have any questions, please contact Stephen Fowler, Audit Director, Office of Information Technology Audits, at (202) 693-7013.

Document Request Listing

Please provide the following documents to Naomi Reynolds at [Reynolds.Naomi@oig.dol.gov](mailto:Reynolds.Naomi@oig.dol.gov) by December 1, 2021.

1. DOL Enterprise IT Modernization Plan
2. Agency IT Modernization Plans for all agencies incorporated as part of IT Shared Services
3. BLS IT Modernization Plan
4. OCFO IT Modernization Plan
5. Job Corps IT Modernization Plan
6. DOL IT Modernization Priority List
7. List of all Legacy Systems
8. List of systems identified as High Value Assets
9. Points of Contact (can be one or more people for each) for following topics:
  - a. Enterprise IT Modernization (Planning and Execution)
  - b. Agency IT Modernization (Planning and Execution)
    - i. Agencies incorporated as part of IT Shared Services
    - ii. BLS
    - iii. OCFO
  - c. IT Modernization and role of IRB, PRB and EARB
  - d. IT Modernization Funding Streams (Internal and External to DOL)
  - e. IT Modernization Project Monitoring/Oversight
    - i. For timing
    - ii. For funding